

# 14

10/24/03  
Hollman



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shinji Maekawa  
 Serial No. : 09/724,403  
 Filed : November 27, 2000  
 Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE WITH  
 TENSILE STRESS (AS AMENDED)

Art Unit : 2813  
 Examiner : Yennhu B. Huynh

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**REQUEST FOR INITIALED PTO FORM 1449**

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed November 27, 2000. A copy of the date-stamped postcard, application transmittal, information disclosure statement and PTO-1449 are attached as evidence of the same.

Applicant's records show that this information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return this form as soon as possible.

Respectfully submitted,

Date: 10/20/03

  
 John F. Hayden  
 Reg. No. 37,640

Customer No. 26171  
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**PATENT**  
ATTORNEY DOCKET NO. 01977-258001

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Shinji Maekawa  
Title: Semiconductor Device and Method of Manufacturing the Same

☒ Transmittal Letter  
☒ Appln. 16 Pages of Spec. 9 Pages of Claims 43 Total Claims 1 Pages of Abstract  
☐ Rule 62 Filing Request (FWC)      Pages  
☐ Assignment  
☐ Deposit Account Order Form (2 Copies)  
☒ Check 40/12922  
☐ Small Entity Statement  
☒ Drawings 21 Sheets Formal      Sheets Informal  
☒ Combined Declaration and Power of attorney 3 signed      unsigned  
☐ Preliminary Amendment      Pages  
☒ Information Disclosure Statement  
☒ PTO 1449 Form 3 Pages  
☒ Prior Art References Number of References 46  
☒ Priority Document 83 Pages  
☐ English Translation  
☒ Other color sheet; 1pg. table

Attorney/Sec. RE Client/ Am  
Initials RE Matter Name 01977-258001 Date 11/27/00

**JC714 U.S. PTO**  
**09/724403**  
**11/28/00**

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November 27, 2000

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Attorney Docket No.: 07977/258001/US4448

**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

# COPY

Presented for filing is a new original patent application of:

Applicant: SHINJI MAEKAWA

Title: SEMICONDUCTOR DEVICE AND METHOD OF  
MANUFACTURING THE SAME

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	65
Claims	9
Abstract	1
Declaration	3
Drawing(s)	27
Table	1

Enclosures:

- Assignment cover sheet and an assignment, 3 pages, and a separate \$40 fee.
- New disclosure information, including:
  - Information disclosure statement, 2 pages.
  - PTO-1449, 3 pages.
  - References, 46 items.
- Certified copies of priority document(s) no(s) 11-336850.

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## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL688267807US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 27, 2000  
Date of Deposit  
Signature

Derek W. Norwood  
Typed or Printed Name of Person Signing Certificate

**FR**  
BOSTON  
DALLAS  
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NEW YORK  
SAN DIEGO  
SILICON VALLEY  
TWIN CITIES  
WASHINGTON, DC

Commissioner for Patents  
November 27, 2000  
Page 2

COPY

— Postcard.

There are 79 total claims, 14 of which are independent.

Under 35 USC 119, this application claims the benefit of a foreign priority application filed in Japan, serial number 11-336850, filed November 26, 1999.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$1062
Independent claims in excess of 3 times \$80	\$880
Fee for multiple dependent claims	\$270
Total filing fee:	\$2922

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.


If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

SCOTT C. HARRIS  
Fish & Richardson P.C.  
Customer Number: 20985  
4350 La Jolla Village Drive, Suite 500  
San Diego, CA 92122

Respectfully submitted,

  
Scott C. Harris  
Reg. No. 32,030  
Enclosures  
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